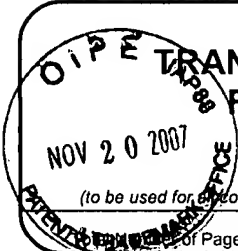


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PTO/SB/21 (04-07)

	Application Number	09/971,726
	Filing Date	October 4, 2001
	First Named Inventor	Thompson, Mark
	Art Unit	3054
	Examiner Name	Ali, Mohamed Hatem
	Attorney Docket Number	020375-003600US
Number of Pages in This Submission		9

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Applicant believes that no fee is required for this submission. However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 20-1430.	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Townsend and Townsend and Crew LLP		
Signature	<i>Yu-An Kuo</i>		
Printed name	Yu-An Kuo		
Date	November 20, 2007	Reg. No.	57,669

CERTIFICATE OF TRANSMISSION/MAILING			
Express Mail Label: EL 889380525 US			
I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on November 20, 2007 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature	<i>Jennifer Weck</i>		
Typed or printed name	Jennifer Weck	Date	November 20, 2007



"Express Mail" Label No. EL 889380525 US  
Date of Deposit: November 20, 2007

PATENT  
Attorney Docket No.: 020375-003600US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

TOWNSEND and TOWNSEND and CREW LLP

By: \_\_\_\_\_

Jennifer Weck

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Mark Thompson

Application No.: 09/971,726

Filed: October 4, 2001

For: METHODS AND SYSTEMS FOR  
PROCESSING FINANCIAL  
INSTRUMENTS

Customer No.: 20350

Confirmation No. 3054

Examiner: Ali, Mohamed Hatem

Technology Center/Art Unit: 3693

RESPONSE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed August 20, 2007, please enter the following amendments and remarks:

**Amendments to the Claims** are reflected in the listing of claims that begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.